

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Chappa et al.

Serial No. 10/028,518

Filed: 21 December 2001

For: REAGENT AND METHOD FOR
PROVIDING COATINGS ON
SURFACES

To: Commissioner for Patents
Washington, D.C. 20231

Art Unit:

Our Ref. 9896.149.0

I hereby certify that this correspondence is being:

☐ deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

☒ facsimile transmitted to the Patent and Trademark Office

☐ hand delivered to the Patent and Trademark Office

on this 21 day of October 2002

By Marilyn E. Thompson

COMMUNICATION REGARDING STATEMENT

SIR:

Enclosed herewith please find a "Statement To DOE Required Under 42 USC § 2182 and/or 42 USC § 2457", in response to the "Requirement for Statement Under §152 of the Atomic Energy Act" mailed September 23, 2002, the 30 day period for response to which is set to expire 23 October 2002.

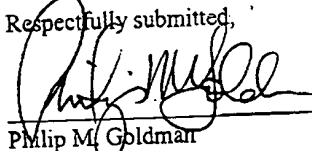
In accordance with telephone conversations between the undersigned and Mr. James Samuels as well as Ms. C. Jeter of the US Patent and Trademark Office, the Statement is being submitted by facsimile and is signed by the undersigned attorney of record and on behalf of the named inventors and assignee.

It is the Applicant's understanding that the enclosed submission will be sufficient to place the application in condition for examination. If the Office has any questions or concerns regarding the enclosed Statement, please call the undersigned at the number provided below.

The Commissioner is hereby authorized to charge any filing fees required to Deposit
Account No. 061910. A duplicate copy of this sheet is enclosed.

Dated: 21 OCT 2002

Respectfully submitted,



Philip M. Goldman
Registration No. 31,162
Fredrikson & Byron, P.A.
4000 Pillsbury Center
200 South Sixth Street
Minneapolis, MN 55402-1425
(612) 492-7088
Customer No. 022859

PMG/Comm.
10/028,518
2715381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Chappa et al.

Serial No. 10/028,518

Filed: 21 December 2001

For: REAGENT AND METHOD FOR
PROVIDING COATINGS ON
SURFACES

To: Commissioner for Patents
Washington, D.C. 20231

Attention: Licensing and Review

) Art Unit: 1762

) Our Ref. 9896.149.0

) I hereby certify that this correspondence is being:

) ☐ deposited with the United States Postal Service as first-
class mail in an envelope addressed to: Commissioner
of Patents and Trademarks, Washington, D.C. 20231
X ☒ facsimile transmitted to the Patent and Trademark
Office

) ☐ hand delivered to the Patent and Trademark Office

) on this 21 day of October 2002

) by Madeley E. Thompson

RECEIVED
OCT 21 2002
MAIL ROOM

STATEMENT TO DOE REQUIRED UNDER 42 USC § 2182 AND/OR 42 USC § 2457

4/6/02
Your Petitioner, SURMODICS, INC., a Minnesota corporation, located at 9924 West 74th Street, Eden Prairie, Minnesota, declares:

That it is the owner of all interest in and to the above-identified patent application by virtue of an Assignment from the inventors, Ralph A. Chappa, Sean M. Stucke, Richard A. Amos, Terrence P. Everson, Stephen J. Chudzik, Dale G. Swan and Peter H. Duquette, which Assignment was recorded on December 21, 2001 at Reel 0124237, Frame 0072;

That the above named inventors made and conceived the invention described above;

That the above named inventors made and conceived this invention while employed by Surmodics Inc., a Minnesota corporation having its principle place of business at 9924 West 74th Street, Eden Prairie, Minnesota, 55344-3523;

That the invention is related to the work the above named inventors are employed to perform and was made within the scope of their employment duties;

That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Surmodics, Inc.;

That the invention was not made or conceived in the course of, or in connection with, or under the terms of any contact, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy, or have any relationship to any work under and contract of the National Aeronautics and Space Administration;

That the invention does not relate to the production or utilization of special nuclear material or atomic energy in the manner contemplated in Title 42, United States Code, Section 2182;

That the invention does not have significant utility in the conduct of aeronautical and spaces activities in the manner contemplated in Title 42 United States Code Section 2457; and

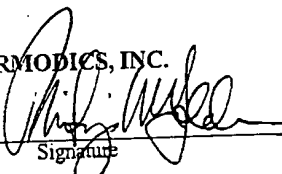
That the undersigned is an attorney of record in this application and is empowered to act on behalf of the Petitioner.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

21 OCT 2002

SURMODICS, INC.


Signature

Philip M. Goldman
Typed or Printed Name

Attorney of Record
Title

Fredrikson

& BYRON

FAX

DATE: 21 October 2002
 TO: Ms. C. Jeter
 COMPANY: U.S. Patent and Trademark Office - Licensing and Review
 FAX: 703 305-6384
 DIRECT DIAL:

FROM: Philip M. Goldman
 DIRECT DIAL: (612) 492-7088
 TOTAL NUMBER OF PAGES (including this page): 5 10

RE Serial No. 10/028,518
 Applicant(s): Chappa et al.
 Filing Date: 21 December 2001
 Atty. No. 9896.149.0

RECEIVED
 OCT 21 2002
 LICENSING & REVIEW

COMMENTS:

Enclosed for filing is Applicant's Communication Regarding Statement and Statement To DOE Required Under 42 USC § 2182 AND/OR 42 USC § 2457 in connection with the above-captioned patent application.

Certificate of Facsimile Transmission	
I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on 21 October 2002.	
21 October 2002	<i>Madelyn Thompson</i>
Date	Signature

21-10-02001:0 RCVD

main 612.492.7000
 fax 612.492.7077
 www.fredlaw.com

Fredrikson & Byron, P.A.
 4000 Pillsbury Center
 200 South Sixth Street
 Minneapolis, Minnesota
 55402-1425

OFFICES: Minneapolis, London AFFILIATES: Mexico City, Warsaw, Montreal, Toronto, Vancouver

CONFIDENTIALITY NOTICE

The document(s) accompanying this fax contain confidential information which is legally privileged. The information is intended only for the use of the intended recipient. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of the telecopied information except its direct delivery to the intended recipient named above is strictly prohibited. If you have received this fax in error, please notify us immediately by telephone to arrange for return of the original document to us.

IF YOU DO NOT RECEIVE ALL PAGES OR ARE EXPERIENCING OTHER PROBLEMS IN TRANSMISSION, PLEASE CALL 612.492.7835. THANK YOU.

FAX CENTER USE

EMPL: 1124
 C/M: 09896.0149
 EQ. NO. ENTERED:
 NAME: Madelyn Thompson
 EXT: 7555 / 35
 VERIFIED: met